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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/053,991	10/22/2001	Clark E. Lubbers	P01-3904	8686

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EXAMINER

PADMANABHAN, MANO

ART UNIT	PAPER NUMBER
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2188

DATE MAILED: 12/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/053,991

Applicant(s)

LUBBERS ET AL.

Examiner

Pierre M. Vital

Art Unit

2188

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 October 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 October 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>10/18/02</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This Office Action is in response to Application No. 10/053,991 filed October 22, 2001. Claims 1-11 are pending in this application.
2. The specification and the claims have been examined with the results that follow.

Information Disclosure Statement

3. The information disclosure statement filed October 18, 2002 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, the information disclosure statement was considered by the examiner.

Claim Objections

4. Claims 1 and 7 are objected to because of the following informalities:
The first occurrence of all acronyms should be defined to enable complete illustration and understanding of the claimed invention. As such, the acronyms "NSC" and "FCAL" should be defined in claims 1 and 7, where they first occurred.
Appropriate correction is required.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-6 are rejected under 35 U.S.C. 102(b) as being anticipated by DeKoning et al (US6,085,333).

As per claim 1, DeKoning discloses a data storage system, comprising: a first NSC including a processor and associated non-volatile memory divided into a primary memory segment and a mirror memory segment [*RDAC 118.1 includes CPU 112.1, local memory 116.1; half of disk drives 110 are used to store and retrieve data while the other half mirror the data storage contents of the first half, col. 5, lines 14-64; memory 116.1 is logically partitioned as primary cache and used for read/write request from host and another section for use in mirroring data stored in RDAC 118.2; col. 7, lines 57-66*]; a second NSC including a processor and associated non-volatile memory divided into a primary memory segment and a mirror memory segment [*RDAC 118.2 includes CPU 112.2, local memory 116.2; half of disk drives 110 are used to store and retrieve data while the other half mirror the data storage contents of the first half, Fig. 1A, col. 5, lines 14-64; memory 116.2 is logically partitioned as primary cache and used for read/write request from host and another section for use in mirroring data stored in RDAC 118.1; Fig. 1A, col. 7, line 66- col. 8, line 7*]; at least one FCAL connected to the first NSC and the second NSC [*interface bus 150 between RDACs 118.1 and 118.2 may be Fiber Channel; Fig. 1A, col. 5, lines 24-28*]; a plurality of storage devices connected to the FCAL [*interface bus 150*

between RDACs 118.1 and 118.2 and disk array 108 (comprises a plurality of disk drives 110) may be Fiber Channel; Fig. 1A, col. 5, lines 22-28]; a point-to-point communication link between the first NSC and the second NSC [shared bus 156; Fig. 1A, col. 6, lines 57-59]; wherein the primary memory in the first NSC and the mirror memory in the second NSC are allocated in corresponding blocks [secondary cache area is assigned corresponding memory addresses in primary cache area; col. 8, lines 7-9].

As per claim 2, DeKoning discloses the primary memory in the second NSC and the mirror memory in the first NSC are allocated in corresponding blocks [col. 8, lines 7-9].

As per claim 3, DeKoning discloses command-response data is transmitted between the first NSC and the second NSC in one or more named resources [col. 5, lines 62-64].

As per claim 4, DeKoning discloses data transmitted as a result of a write I/O operation directed by the first NSC is mirrored in the mirror memory of the second NSC [col. 8, lines 2-4].

As per claim 5, DeKoning discloses data transmitted as a result of a write I/O operation directed by the second NSC is mirrored in the mirror memory of the first NSC [col. 8, lines 4-7].

As per claim 6, DeKoning discloses the NSCs reserve positions for command-response data in the data flow on the point-to-point communication link [col. 7, lines 19-30].

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claims 7-10 are rejected under 35 U.S.C. 102(e) as being anticipated by McKean et al. (US6,681,339).

As per claim 7, McKean discloses a method of operating a data storage system, comprising: receiving an I/O request at a primary NSC [*controller A 116 receives a write data request from the host system 102*; Fig. 1, col. 4, lines 27-29]; allocating a block of cache memory in the primary NSC [*primary controller 116 caches the data in cache memory 120*; col. 4, lines 31-32]; receiving data for a write operation in the primary NSC [*write data request includes data to be written by the primary controller 116*; col. 4, lines 29-30]; and transmitting the data to a corresponding block of cache memory in a mirror NSC [*primary controller mirrors the data to controller B*; col. 4, lines 34-38].

As per claim 8, McKean discloses the step of receiving an I/O request at a primary NSC comprises receiving a write I/O request from a host computer [*controller A 116 receives a write data request from the host system 102*; Fig. 1, col. 4, lines 27-29].

As per claim 9, McKean discloses the step of allocating a block of cache memory in the primary NSC automatically allocates a corresponding block of cache memory in the mirror NSC [col. 4, lines 34-38].

As per claim 10, McKean discloses the step of transmitting the data to a corresponding block of cache memory in a mirror NSC implements an atomic write process [col. 4, lines 47-49].

Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over McKean et al. (US6,681,339) and (US6,385,706).

As per claim 11, McKean discloses the claimed invention as detailed above in the previous paragraphs. However, McKean does not specifically teach the step of transmitting the data to a corresponding block of cache memory in a mirror NSC includes transmitting context information with the data as recited in the claim.

Ofek discloses metadata associated with a backup segment to provide sufficient information to determine the characteristics of storage required (col. 23, lines 12-35). Since the technology for implementing transmitting the data to a corresponding block of cache memory in a mirror NSC includes transmitting context information with the data was well known as evidenced by Ofek, an artisan would have been motivated to implement this feature in the system of McKean. Thus, it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to modify the system of McKean to include transmitting the data to a corresponding block of cache memory in a mirror NSC includes transmitting context information with the data because it was well known to provide sufficient information to determine the characteristics of storage required (col. 23, lines 12-35) as taught by Ofek.

Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Applicant is required under 37 C.F.R. § 1.111(c) to consider these references fully when responding to this action. The documents cited therein teach.

12. The examiner requests, in response to this Office action, any reference(s) known to qualify as prior art under 35 U.S.C. sections 102 or 103 with respect to the invention as defined by the independent and dependent claims. That is, any prior art (including

any products for sale) similar to the claimed invention that could reasonably be used in a 102 or 103 rejection. This request does not require applicant to perform a search. This request is not intended to interfere with or go beyond that **required** under 37 C.F.R. 1.56 or 1.105.

The request may be fulfilled by asking the attorney(s) of record handling prosecution and the inventors)/assignee for references qualifying as prior art. A simple statement that the query has been made and no prior art found is sufficient to fulfill the request. Otherwise, the fee and certification requirements of 37 CFR section 1.97 are waived for those documents submitted in reply to this request. This waiver extends only to those documents within the scope of this request that are included in the application's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this request and any information disclosures beyond the scope of this are subject to the fee and certification requirements of 37 CFR section 1.97.

In the event prior art documentation is submitted, a discussion of relevant passages, figs., etc., with respect to the claims is requested. The examiner is looking for specific references to 102/103 prior art that identify independent and dependent claim limitations. Since applicant is most knowledgeable of the present invention and submitted art, his/her discussion of the reference(s) with respect to the instant claims is essential. **A response to this inquiry is greatly appreciated.**

13. The examiner also requests, in response to this Office action, support be shown for language added to any original claims on amendment and any new claims. That is, indicate support for newly added claim language by specifically pointing to page(s) and line no(s) in the specification and/or drawing figure(s). This will assist the examiner in prosecuting the application.

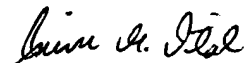
14. When responding to this office action, Applicant is advised to clearly point out the patentable novelty which he or she thinks the claims present, in view of the state of the art disclosed by the references cited or the objections made. He or she must also show how the amendments avoid such references or objections See 37 CFR 1.111(c).

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pierre M. Vital whose telephone number is (571) 272-4215. The examiner can normally be reached on 8:30 am - 6:00 pm, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mano Padmanabhan can be reached on (571) 272-4210. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

December 9, 2004



Pierre M. Vital
Primary Examiner
Art Unit 2188